

Pechini process-derived tin oxide and tin oxide–graphite composites for lithium-ion batteries

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Abstract

The Pechini process [Ceram. Bull. 68 (1989) 1002] is used to obtain fine tin oxide powders that reduce the specific volume change in Li⁺ insertion and extraction reactions. The suitability of these tin oxides as active materials for negative electrodes in lithium-ion batteries is investigated. From elemental analysis, it is found that the templating polymer network is almost completely obliterated after heating at 500 °C. The best tin oxide does not exhibit extensive crystallization of tin atoms even after 30 cycles of alloying and de-alloying reactions with Li. The structure and the specific capacity of the oxides are dependent on the heat treatment and remnants of the polymeric C–H network can impose an unfavorable outcome. A capacity of 600 mAh g⁻¹, which is unchanged for 30 cycles, can be obtained from tin oxide heat treated at 1000 °C. Composites of graphite and SnO₂ are also prepared and exhibit synergistic interactions between graphite and tin oxide which are similar to those reported previously [Electrochem. Solid State Lett. 3 (2000) 167].

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1. Introduction

Lithium alloy anodes for lithium-ion batteries had been investigated in some detail before carbonaceous materials were widely accepted for the same application. An obvious advantage of Li⁺ insertion into a compatible metal is the high packing density that can be achieved in Li alloys. Unfortunately, a large specific volume change (usually by a factor of two or three) occurs in the host matrix during the insertion and removal of Li [3]. This causes rapid anode disintegration under the induced mechanical stress. Carbonaceous materials have much smaller expansion and contraction problems, but are beset with a relatively low packing density compared with the Li-metal alloys.

One way to contain the volume changes during Li⁺ insertion and extraction is to embed the active material in a cushioning medium which maintains particle connectivity, even after pulverization. The medium can be electrochemically active or inactive. A well-known example is the amorphous tin composite oxide (ATCO) anode from Fuji Film [4].

Tin-based oxides have theoretical volumetric and gravimetric capacities that are, respectively, four and two times that of carbon. There is, however, a substantial loss of charge and Li⁺ in the first cycle due to electrochemical reduction of the oxide. According to Courtney and Dahn [5], the Li₂O formed in the first cycle could buffer the volume change in subsequent Li reactions with Sn, and decrease the tendency of tin atom aggregation on prolonged cycling [5]. In practice, tin composite oxides have shown reduced (relative to tin), but not insignificant, capacity fading upon cycling [5–9]. This indicates that the Li₂O matrix is not completely effective in preventing tin aggregation into large coherent domains. There were also attempts to add one or more elements to tin to deliver the same benefits as cushioning Li₂O without its disadvantages. For instance, Yang et al. [10] used chemical reduction to obtain Sn–SnSb powders as active materials [10], and Mao et al. [11–13] prepared a complete series of Sn–Fe–C powders by mechanical alloying. The irreversible losses on the first cycle are lowered, but in some cases cycleability is compromised. SnO₂ has also been doped with Mo, P, and B [4,7,14,15] to hinder tin aggregation in charge and discharge reactions. It is generally believed that the ability to produce and maintain tin in high dispersion throughout charging and discharging is the key to good cycleability [7].

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This investigation uses the Pechini process [1,16] to produce tin composite oxide materials. The Pechini process was originally developed to prepare metal oxide powders such as titanates and niobates for capacitors [16]. Recently, it has been applied to the syntheses of a number of cathode materials [17,18], as well as electroceramics such as superconducting fibers [19]. The Pechini process is based on the ability of weak polybasic acids to form chelates with cations. These chelates then undergo polyesterification when heated in a polyhydroxyl alcohol to form a solid polymer resin in which the cations are uniformly dispersed. The resin is subsequently calcined at lower temperatures to yield fine oxide particulates. With this method, we hope to produce very fine powders of tin oxide with improved electrochemical properties. The issue of irreversibility capacity loss may then be addressed through compounding with a capacity compensating material such as $\text{Li}_{2.6}\text{Co}_{0.4}\text{N}$ [20].

2. Experimental

2.1. Materials preparation

The 0.02 M citric acid (Merck, 99.5%) and 0.015 M $\text{SnCl}_2 \cdot \text{H}_2\text{O}$ (Merck, 99%) were added to 50 ml of distilled

water. The mixture was vigorously stirred for 3 h to obtain a clear solution. The 0.015 M of ethylene glycol (99.9%) was then introduced and the mixture heated. A yellow gel slowly formed which eventually turned into a block of solid. The solid was ground into a fine powder and heated for 3 h in air in a tube furnace at 300, 500, 700, and 1000 °C, respectively. The procedure was also repeated by dispersing 1.5 g graphite (KS6, TimCal, BET surface area = $19 \text{ m}^2 \text{ g}^{-1}$) in the solution at the beginning to produce tin oxide–graphite composite precursors. Those too were heated for 3 h in air at 300 and 500 °C, respectively.

2.2. Materials characterizations

The tin oxide samples were examined by scanning electron microscopy (SEM) at 15 kV and 1000× magnification in a Philips XL30 instrument. Determination of crystal structure was performed primarily by means of powder X-ray diffraction (XRD) using a Siemens D6000 diffractometer, Cu K α radiation, and a scan range of 20–70°. The carbon contents of samples heated at different temperatures were determined by means of a Perkin-Elmer 2400 CHN elemental analyzer.

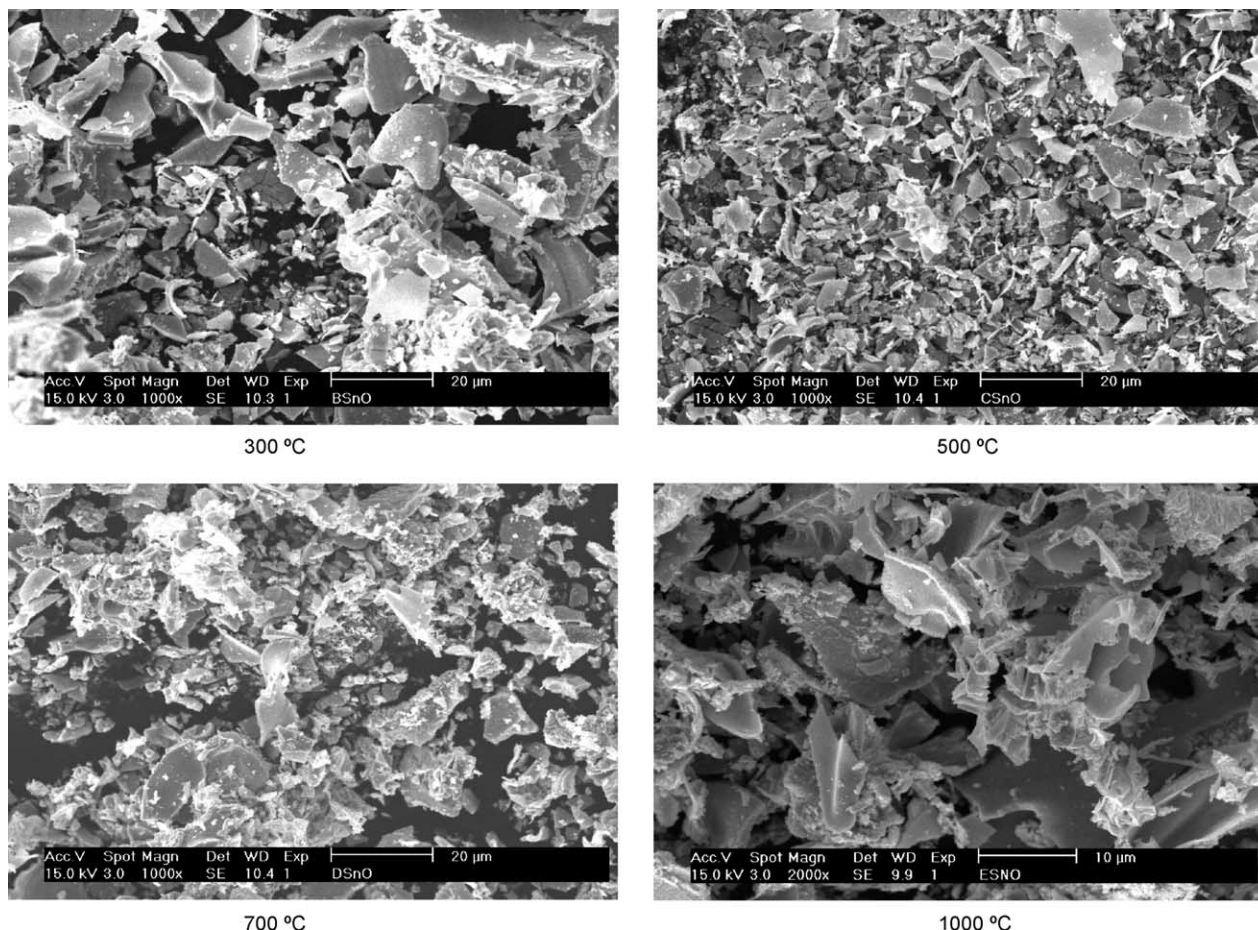


Fig. 1. Electron micrographs of Pechini process-derived SnO_2 precursors after firing at different temperatures.

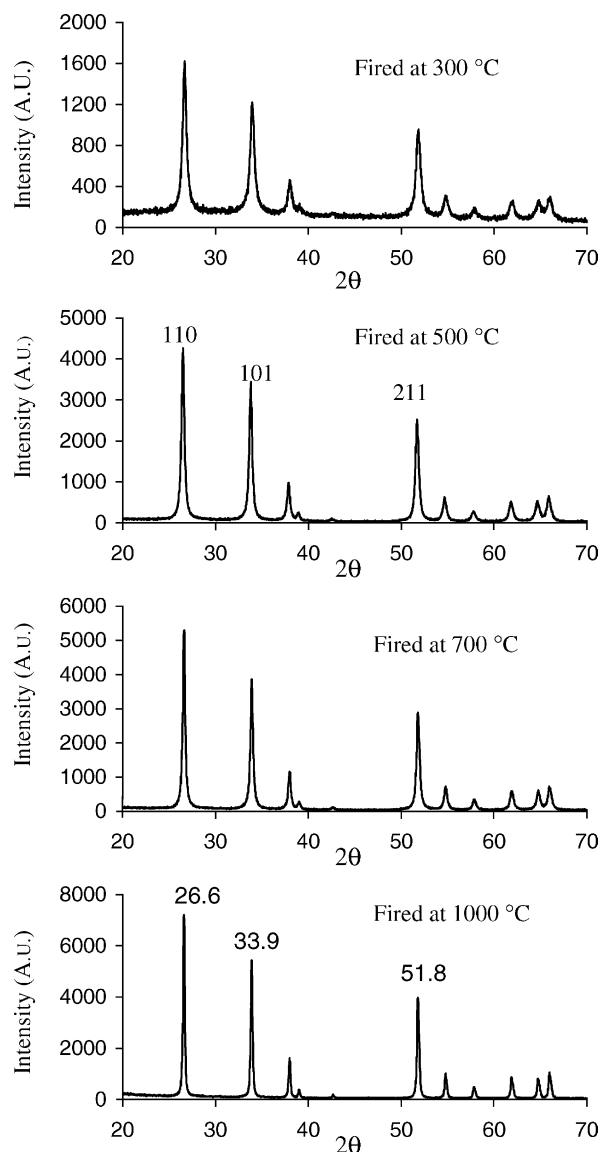


Fig. 2. Evolution of SnO₂ X-ray diffraction patterns at different heat-treatment temperatures.

observable capacity. The same heat-treated sample also produces charging problems in SEM examinations because of its lack of electrical conductivity. Pyrolysis raises the electron conductivity to at least the level of semi-conducting SnO₂. The gentle removal of the polymeric network prevents the coalescence of the tin oxide particles, which

preserves some of the open structures present in the precursor network. The presence of free volume is reflected by the increase in BET surface area with temperature until about 1000 °C. The decrease in the BET surface area thereafter is the result of particle sintering becoming more prevalent. Particle-size measurements by laser light scattering show all the particles to be in the same size range ($d_{50} \sim 0.6 \mu\text{m}$). Hence, the increase in BET surface area is due to the increase in the voidage of the particles.

The charge and discharge curves of the tin oxides heat-treated at different temperatures is shown in Fig. 3. There is a plateau in the charging curves around 1 V, which corresponds well with the reported formation of Li₂O and Sn metal [5]. Except for the 300 °C treated sample, all other curves have a similar appearance. This is perhaps caused by the low SnO₂ content in the 300 °C sample (78%) compared with the others ($\sim 100\%$). Chemical analysis shows that the 300 °C sample has a high residual carbon content, which indicates the presence of remnants of the polymeric network. It has been reported [22–24] that Li–Sn alloys only exist below 0.8 V, and hence Sn is likely to be reformed in the discharge cycles around 0.9 V. At discharge potentials higher than 1.3 V, some Li₂O decomposition may take place and result in deterioration of cycle-life [7]. Given these possibilities [5,22], the discharge limit was capped at 1 V in all the experiments reported here.

The theoretical specific capacities of Sn, SnO, and SnO₂ are 1000, 880, and 780 mAh g⁻¹, respectively, based on the assumption that each tin atom can accommodate up to a maximum of 4.4 Li atoms. Most work on pristine SnO and SnO₂ [25–28] has reported specific capacities lower than the theoretical values when the discharge was limited to 1 V. Even in cases where the discharge cut-off was raised to 2 V [6], only 3.5 Li atoms per tin atom could be recovered. Some of the previous studies on Li⁺ storage in tin oxides are summarized in Table 2. These numbers have been extracted from the charge and discharge curves in the original reports and represent the high end of the capacities. All of them are substantially lower than the theoretical values. Capacity retention is very poor for some of them. In the study by Courtney and Dahn [5], the capacity decreases from the first cycle to the second cycle by 100 mAh g⁻¹ for SnO, and by 200 mAh g⁻¹ for SnO₂. The performance for the best sample examined Kim et al. [30] was a reversible capacity of 569 mAh g⁻¹ (0–1.5 V) with a fade rate of 0.4% per cycle for 10 cycles. By comparison, the 1000 °C sample in this

Table 1

Specific capacities of various SnO₂ samples, produced by the Pechini process, in Li⁺ insertion and removal reactions

Sample	Temperature (°C)	Average reversible specific capacity (mAh g ⁻¹) 0–1 V	Average reversible specific capacity (mAh g ⁻¹) 0–2 V	Carbon content (wt.%)	BET (m ² g ⁻¹)
A	300	330	470	22	1.88
B	500	480	820	0.1	8.53
C	700	510	818	0.1	8.90
D	1000	600	840	0.07	5.45

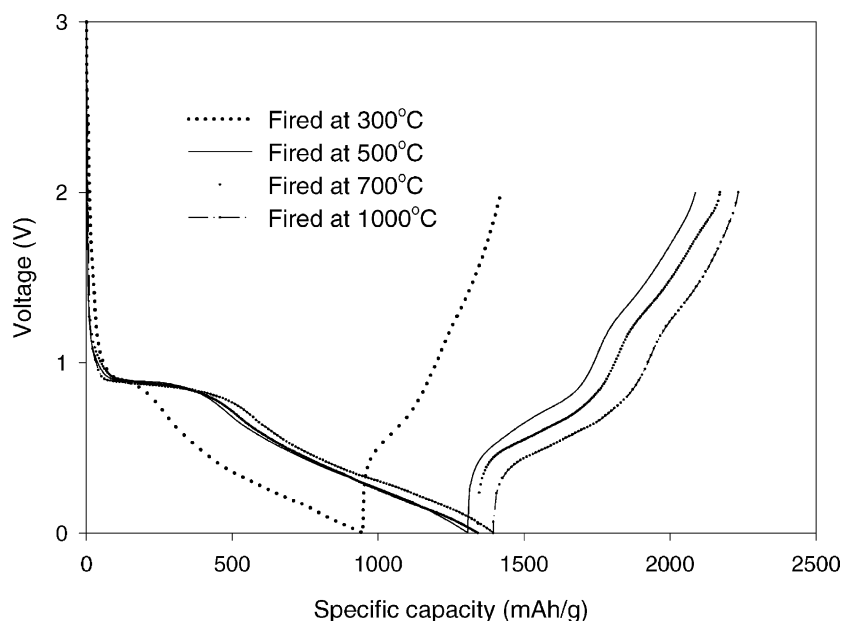


Fig. 3. First-cycle charge and discharge curves of SnO_2 prepared by Pechini process and fired at different temperatures.

Table 2

Practical specific capacities of SnO_2 reported in the literature

Oxide type [references]	Li^+ extraction up to 1 V (specific capacity in mAh g^{-1})	Li^+ extraction up to 2 V (specific capacity in mAh g^{-1})	Current density
SnO_2 [29]	~400	~600	0.125 mA cm^{-2}
SnO_2 [19]	~450 (0–1.15 V)		
SnO_2 [30]	760 (0–1.5 V)		
SnO_2 [5]	~600	~850	18.6 mA g^{-1}
This work	~600	~850	0.4 mA cm^{-2} or 70 mA g^{-1}

study was able to sustain a high reversible capacity of 624 mAh g^{-1} for 26 cycles at a fade rate of 0.2% per cycle, all within a narrower voltage range of 0–1 V.

The application performance was further enhanced by increasing the conductivity of the electrode. The charge and discharge curves of the tin oxides fired at 700°C are shown in Fig. 4a. The electrode was formulated according to the normal practice of SnO_2 :carbon black = 8:1.² The charge–discharge profiles of the same material with more carbon black added during formulation (SnO_2 :carbon black = 1:1) are presented in Fig. 4b. For the first seven cycles, the normally formulated SnO_2 could only exchange three Li atoms per Sn atom, whereas sample enhanced with the carbon black allowed 4.1 Li atoms to be exchanged, i.e. closer to the theoretical limit of 4.4. The charge and discharge plateaux are also flatter in appearance. As the 1:1 mixture is not an appropriate formulation from the utilization point of view, tin oxides were mixed according to the 8:1 formulation throughout the remaining experiments for a more realistic assessment of the material performance.

²Carbon black has little reversible capacity for Li storage.

The cycleability of SnO_2 prepared by the Pechini process is compared in Fig. 5 with SnO_2 prepared from SnCl_2 hydrolysis [2] (with 10 wt.% carbon black and 10 wt.% PVDF). The Pechini SnO_2 treated at 1000°C for 3 h has the highest and most stable discharge capacity (620 mAh g^{-1}) and shows little capacity fading over 30 cycles. For SnO_2 treated at 700°C , the initial capacity of 500 mAh g^{-1} decreases to 420 mAh g^{-1} in the same number of cycles. The sample treated at 500°C also experiences a capacity decrease from 480 to 400 mAh g^{-1} , and the 300°C treated sample, from 320 to 290 mAh g^{-1} in 30 cycles. It is generally believed that the key to good cycle-life lies with control of Sn particle size and the prevention of the Sn particles from agglomerating into large clusters. The Pechini reactions enable the initial establishment of a high Sn dispersion. The encapsulation of Sn atoms by the interpenetrating polymeric network effectively limits the sintering agglomeration of tin even as the polymer network is being progressively removed by firing. The end result is a very fine SnO_2 powder where the small particle size increases the energy barrier to agglomeration. The SnO_2 powders prepared by conventional hydrolysis have no such protection

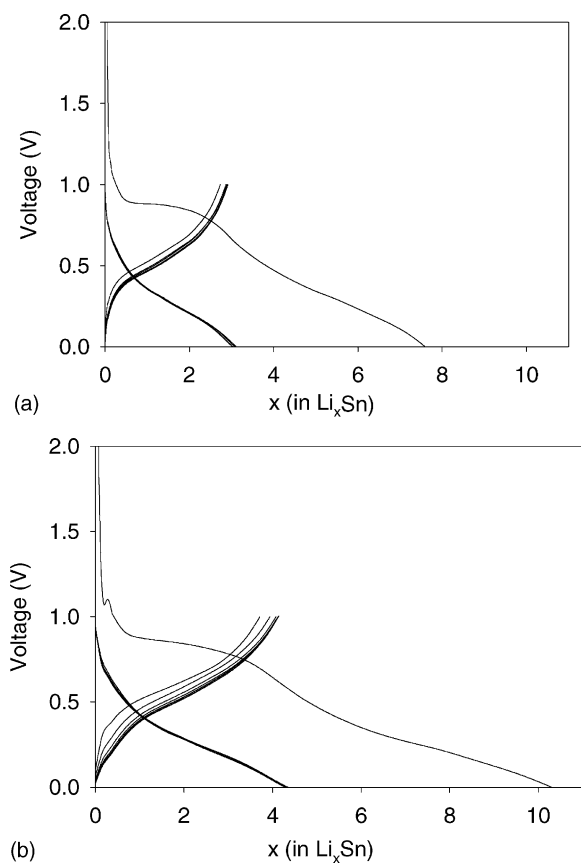


Fig. 4. Charge and discharge curves of sample C during first seven cycles: (a) SnO_2 :carbon black = 8:1; and (b) SnO_2 :carbon black = 1:1.

during its inception and hence exhibit substantial capacity fading from 400 to 300 mAh g^{-1} in 30 cycles.

Despite the promising cycling behavior, especially for the sample heat-treated at 1000°C , the tin oxides are beset with substantial irreversible capacity losses in during first cycle. From the data in Table 3, the lower coulombic efficiency in 0–1 V window is due mainly to incomplete Li extraction at the 1 V level. The discharge capacity is about 20% lower than the theoretical value of 780 mAh g^{-1} . If decomposition of SnO_2 during the first cycle is main cause of the irreversibility, then the loss should amount to 700 mAh g^{-1} . The measured values of the first-cycle losses are higher, viz. about $800\text{--}900 \text{ mAh g}^{-1}$ (0–1 V). The loss is smaller ($\sim 500 \text{ mAh g}^{-1}$), however, if the voltage range is widened to 0–2 V. This shows that some Li is deeply seated and can only be extracted by high negative potentials. Extraction at 1.3 V, and beyond, might also cause the decomposition of Li_2O , which contributes to the apparent reduction in irreversibility capacity loss in the 0–2 V range.

The effect of particle size on retarding the aggregation of tin atom can also be witnessed by X-ray diffraction. The diffraction pattern of the 700°C treated SnO_2 after charging (Li^+ insertion) to 0.8 V in the first cycle is shown in Fig. 6a. The peaks at 25.5 and 28° are background signals from the Mylar film. The peaks at 33.82 and 51.6° are due to SnO_2 , but another peak at 26° is masked by the carbon peak from the Mylar cover which protected the sample from oxidation during measurements. The intensity of the 25.5° peak decreases upon lithium insertion because of the

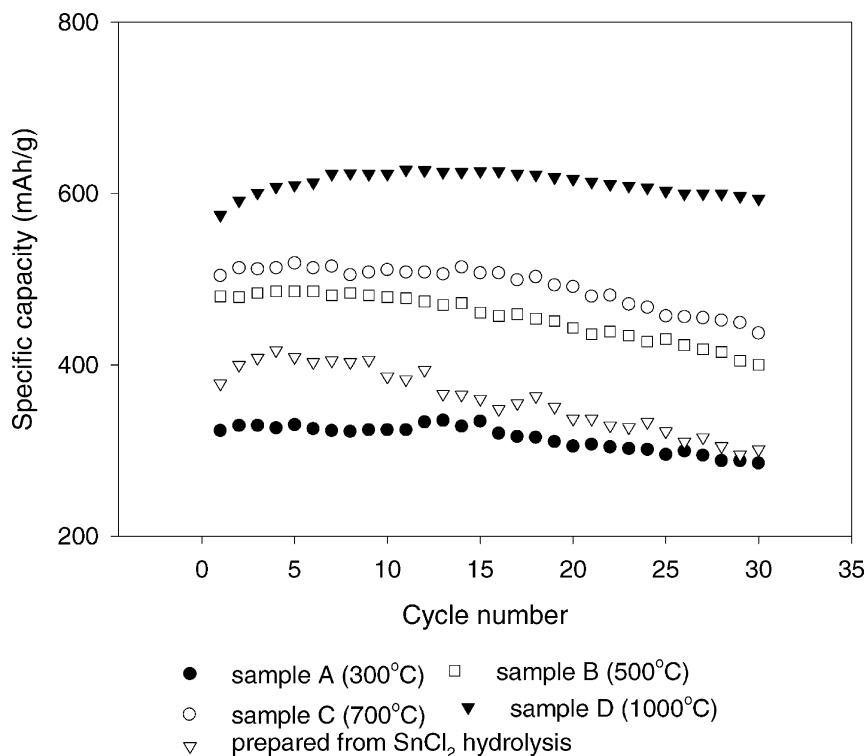


Fig. 5. Comparison of cycleability of different SnO_2 samples (test conditions: 0.4 mA cm^{-2} ; 0–1 V).

Table 3
Coulombic efficiency in 0–1 and 0–2 V voltage windows

Sample	0–1 V in first cycle		0–2 V in first cycle	
	Intercalation/de-intercalation (mAh g ⁻¹)	Columbic efficiency (%)	Intercalation/de-intercalation (mAh g ⁻¹)	Columbic efficiency (%)
A	950/375	39	1015/472	46.5
B	1268/457	36	1312/820	62.5
C	1400/504	36	1369/846	61.8
D	1390/575	41	1331/840	63.1

decomposition of SnO₂ to Sn and Li₂O. This was also observed by Courtney and Dahn [5] in charging SnO₂ to 1 V in the first cycle. In this work, a remnant of SnO₂ was still visible after charging to 0.8 V, and metallic tin was not detectable by X-rays. This is perhaps due to the fact that of the tin atoms have a very small size and are therefore not X-ray coherent. The diffraction pattern after charging to 5 mV

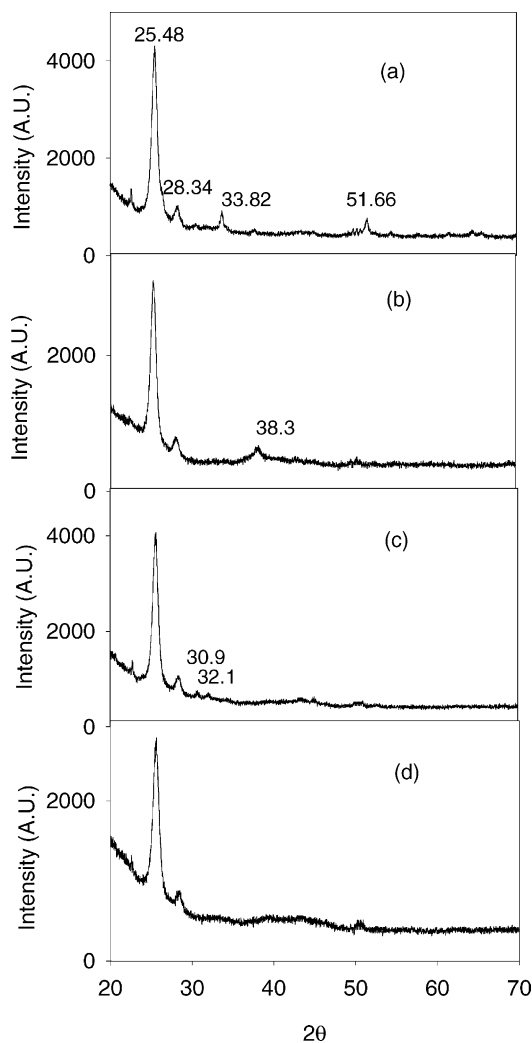


Fig. 6. X-ray diffraction patterns of SnO₂ at different stages of charge and discharge in first cycle: (a) after charging to 0.8 V; (b) after charging to 5 mV; (c) after discharging to 1 V; and (d) in discharged state after 30 cycles.

is shown in Fig. 6b. All X-ray signatures of SnO₂ disappear completely, and the peak at 38.3° corresponds well to Li–Sn alloy [31]. The diffraction pattern of the same material discharged to 1 V after being previously charged to 5 mV is shown in Fig. 6c. As lithium is extracted during discharge, the peak pertaining to the Li–Sn alloy disappears, and the twin peaks at 31 and 32° are indications of the formation of tin metal. The degree of crystallization as perceived by X-rays is, however, very low. A sample in the discharged state after 30 cycles has also been analyzed by X-ray diffraction. While the presence of Li and Sn as independent entities is expected at this stage, it was not possible to identify their presence by X-rays. This is indicative of the resistance to tin agglomeration in SnO₂ prepared by the Pechini process. This observation differs from that made in other work [2,12] where the presence of tin could be more easily detected in the first cycle, probably as a result of uninhibited particle growth. Retoux et al. [28] reported that the size of the tin crystallites formed during the first cycle could increase from 40 to 110 nm after 500 cycles.

We observed previously [2] synergistic interactions between graphite and SnO₂ when the latter was highly dispersed in the former. Some graphite–SnO₂ composites have also been prepared in the present work to determine the generality of the previous observation. Powder diffraction patterns of graphite–tin oxide composites prepared by the Pechini process at 300 °C (EA) and 500 °C (EB), respectively, are presented in Fig. 7. The concentration of SnO₂ in each of these samples was found to be 2 wt.% from ICP measurements. As expected, the X-ray signal of crystalline SnO₂ increases with increase in heat-treatment temperature, as shown by the generally stronger diffractions in the EB sample. The diffraction peak at 26° contains a large contribution from the graphite (0 0 2) plane, and hence its intensity relative to other SnO₂ diffractions is higher than the level indicated in Fig. 2. No crystalline phases other than SnO₂ that could be detected by X-ray diffraction.

The cycleability of EA and EB is compared in Fig. 8. It is immediately apparent that adding tin oxide to graphite by the Pechini process has produced no sustainable improvement in cycle-life. This is possibly due to the partial coverage of graphite particles by the polymeric precursor network which could not be removed by low temperature burn-off at 300 °C. Compared with graphite, the polymeric network has slower kinetics for Li⁺ transport and hence the capacity is

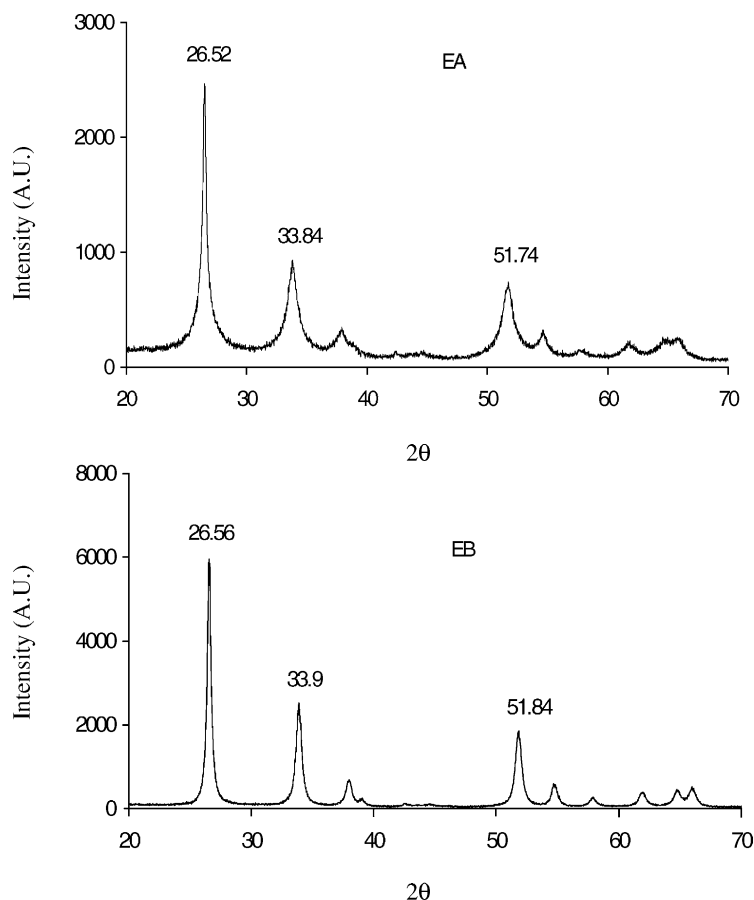


Fig. 7. X-ray diffraction patterns of graphite-SnO₂ composites prepared by Pechini process.

adversely affected. The specific capacity of EB is significantly better because the higher burn-off temperature of 500 °C results in a more complete removal of the precursor network. Higher heat-treatment temperatures were not attempted because of the possibility of graphite oxidation and irreproducible modifications of the carbon surfaces.

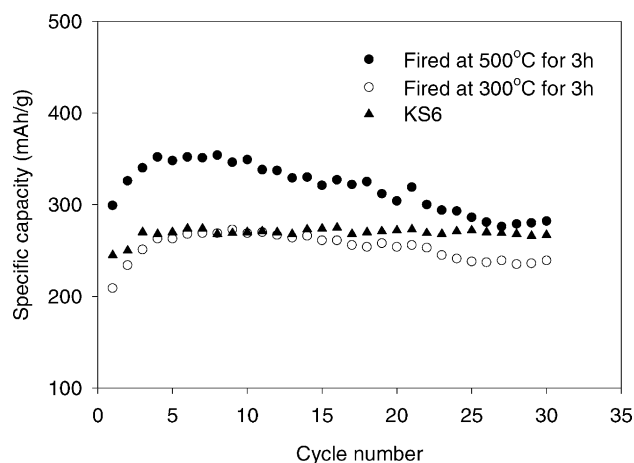
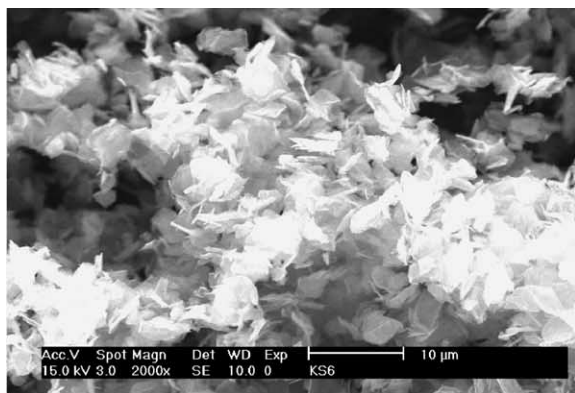


Fig. 8. Cycleability of graphite and graphite-SnO₂ composite prepared at different temperatures (test conditions: 0.4 mA cm⁻² (75 mA g⁻¹); 0–1 V).

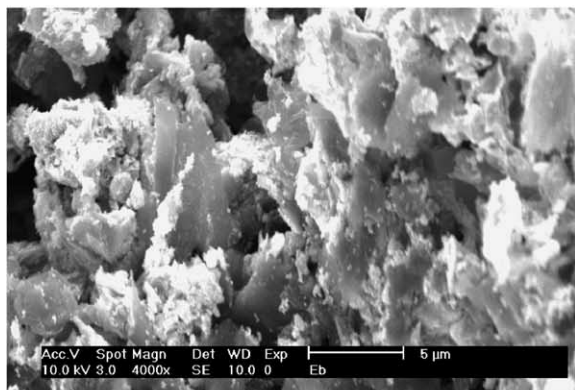
Scanning electron micrographs of EA, EB and a pristine graphite sample (KS6) are shown in Fig. 9. After treatment by the Pechini process, the free space between the graphite flakes is filled with bridging polymeric materials (Fig. 9b and c). The bridges are not removed by heating to 300 °C and the graphite particles remain highly agglomerated. The diffusion of Li-ions into and from the graphite interior is likely to be hindered and therefore result in low capacities. Increasing the heat-treatment temperature to 500 °C removes a good part of the polymeric bridges, as can be seen by the more open structure in the powder shown in Fig. 9c. Accordingly, Li-ion transport is less hindered in this case, and accounts for the experimental increase in capacity.

The data in Table 4 highlight difference between the specific capacities of EA and EB, where SnO₂ is deposited on graphite by the Pechini process, and the specific capacities of mechanical mixtures of SnO₂ obtained by the Pechini process and graphite with the same overall compositions; at 0.4 mA cm⁻² between 5 mV and 1 V.

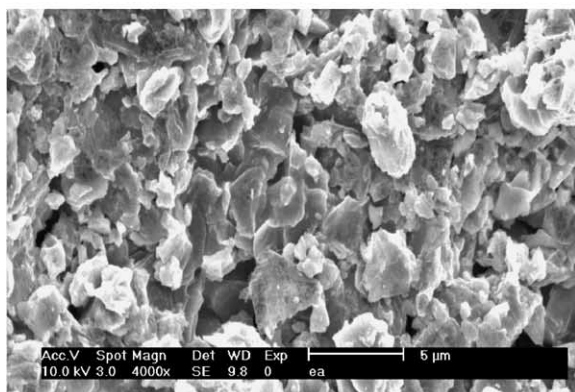
The specific capacities of Pechini process-derived SnO₂ treated at 300 and 500 °C is 330 and 480 mAh g⁻¹, respectively (Table 1). The data in Table 4 show that the capacity of graphite is not improved by mechanical mixing with SnO₂ because of the small amount (2 wt.%) of tin oxide added (samples MM1 and MM2). The mixtures delivered only the



Pristine KS6



EA



EB

Fig. 9. Electron micrographs of samples EA, EB and pristine graphite KS6.

Table 4

Specific capacities (mAh g^{-1}) of SnO_2 –graphite composites, mechanical mixtures of SnO_2 and graphite, and graphite

SnO_2 (wt.%)	Designation	Capacity (mAh g^{-1})
2	EA (300 °C)	270
2	EB (500 °C)	350
0	KS6	270
2	MM1 ^a	260–270
2	MM2 ^b	260–270

^a 2 wt.% Pechini process-derived SnO_2 fired at 300 °C and 98% KS6.

^b 2 wt.% Pechini process-derived SnO_2 fired at 500 °C and 98% KS6.

typical capacity of graphite (sample KS6). By contrast, the EB sample, despite the same low SnO_2 concentration, increases the graphite capacity by as much as 30%. This can be attributed to the good dispersion of SnO_2 in graphite by the Pechini process so that the former can be fully utilized electrochemically. More importantly, if it is assumed that SnO_2 and graphite contribute independently to the overall capacity, the composite will have a capacity of 280 mAh g^{-1} instead of the 350 mAh g^{-1} observed here. Hence, the synergistic interactions between highly dispersed tin oxide and graphite, which were first reported in our previous work [2], is again evident here. The effect appears to require only a high dispersion of the tin oxide phase and is largely independent of the synthesis details that lead to high dispersion.

4. Conclusions

Fine powders of tin oxide are obtained from Pechini synthesis followed by heat treatment above 500 °C. The materials are resistant to tin atom agglomeration and exhibited good cycle-life in rechargeable Li batteries. It is speculated that their small size and the presence of intervening free volumes between them provide an effective cushion against the specific volume change that accompanies Li insertion and extraction reactions. Tin oxide prepared by the Pechini process and fired at 1000 °C, show a reversible capacity of 600 mAh g^{-1} between 0 and 1 V which is nearly invariant for 30 cycles, without any indication of tin particle growth. Graphite–tin oxide composites have also been prepared and the synergistic interactions previously observed [2] are again evident. This indicates the merits of a high dispersion of tin oxide in graphite as a viable means to increase the capacity of graphite beyond its theoretical limit.

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